Pierrot lunaire in Studio and in Broadcast: Sprechstimme, Tempo and Character

AVIOR BYRON

Introduction
Robert Philip, in his book Performing Music in the Age of Recording, speaks about the huge importance of the recording studio in defining how the music will sound. He claims that listeners and most musicologists are not aware of its extent and significance.1 Eric Clarke wrote that ‘there is a deep-seated uncertainty about how recordings should be understood—whether as captured performances or as studio creations’.2 In popular music, it is widely acknowledged that live performances may differ greatly in relation to studio recordings. In Western art music too, the differences may be significant.3 John Ardoin, for example, writes that ‘Furtwängler was a conductor who relied on the inspiration of the moment during live concerts, and his more carefully prepared studio recordings often lack the spontaneity of the recordings of his live performances’.4 Stephen Davis stresses that ‘Studio performances display different strengths and weaknesses from sound-alike performances, and the two should be assessed and appreciated differently’.5 Michael Chanan, writing about the early history of recordings and radio, claims:

3 See Philip, 47–9.
Radio operates in the present tense, records reproduce the past moment. Radio is ephemeral, records preserve the evanescent. For the recording engineer, the record is not a live medium but precisely a record, which reproduces an original sound. For the broadcaster, the sound at source has no independent integrity and everything is malleable... Here, then, for the first time, there emerges a controversy about the nature of the recording process which has hardly subsided today.6

In the first half of the twentieth century studio recordings were often recorded in one take. The relatively low fidelity in sound quality is usually compensated for by a relatively high fidelity with regards to tempo. During the second half of the twentieth century the sound fidelity progressively improved, but, paradoxically, the technology could now offer recordings which did not represent any single live performance; such heavily edited recordings are a result of delicate studio work where the producers and sound technicians are deeply involved in the creative process and thus highly affect the final result. This is why the recordings of Schoenberg conducting offer a rare and relatively precise view into aspects such as tempo and character. Until recently, there was only one known recording of each of the pieces that Schoenberg conducted.

Lately I discovered, in the New York Public Library, a recording of a broadcast of Pierrot lunaire conducted by Schoenberg that does not appear in any catalogue.7 This excerpt dating from 17 November 1940 is part of a concert broadcast from the Town Hall in New York; its duration is 23 minutes.8 Not all the songs were included in the broadcast and two of them (‘Die Nacht’ and ‘Die Kreuze’) are incomplete. This is probably due to limits in broadcast time.9 The test pressings for the well-known commercial recording were made between 24 and 26 September 1940 in Los Angeles where the following musicians participated: Erika Stiedry-Wagner, Sprechstimme;...

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7 I would like to thank the University of London Central Research Fund for a grant towards a research trip to the Arnold Schönberg Center in Vienna where I conducted much of this study. I am grateful to the Arnold Schönberg Center in Vienna and especially the archivist Therese Muxedner for ordering the broadcast from the New York Public Library. See Avior Byron, ‘The Test Pressings of Schoenberg Conducting Pierrot lunaire: Sprechstimme Reconsidered’, Music Theory Online 12/1 (February 2006), http://mto.societymusictheory.org/issues/mto.06.12.1/toc.12.1.html, for further recordings of Pierrot that I discuss for the first time.
8 The announcer in the broadcast was Milton Cross.
Rudolf Kolisch, violin and viola; Stefan Auber, cello; Edward Steuermann, piano; Leonard Posella, flute and piccolo; and Kalman Bloch, clarinet and bass clarinet.\(^\text{10}\)

Now that the broadcast of 17 November is available, it is possible for the first time to compare two performances of this masterpiece under the composer’s direction. The different experience of the broadcast in relation to that of the commercial recording did not pass unnoticed by Schoenberg and his friends, students, and reviewers, as we will see in a moment. In the broadcast the woodwind performers were different, the ensemble being Erika Stiedry-Wagner, *Sprechstimme*; Rudolf Kolisch, violin; Stefan Auber, cello; Edward Steuermann, piano; Frances Blaisdell, flute; Eric Simon, clarinet. The discovery of a recording of the broadcast grants us a new perspective of Schoenberg’s conducting with an ensemble consisting of performers who were part of his circle for almost three decades, such as Kolisch, Steuermann and Stiedry-Wagner, as well as completely new performers with various professional abilities. I will demonstrate how various different elements influenced the performances: namely, Schoenberg’s attitude towards radio and recordings; the fact that he knew that he was documenting the composer’s interpretation for future generations; his flexible and changing attitude towards tempo; the musical abilities of the new performers in each context; the sizes of the studio and hall; and the recording philosophy of the recording technicians.

**Schoenberg’s attitude towards the radio and recordings**

Radio broadcasting was a new phenomenon that changed the world during Schoenberg’s lifetime. In the 1920s radio networks were established and commercial radio began. The first recording dates from about 1887 and the first recording studio appeared in 1897.\(^\text{11}\) From 1900 to 1925, acoustically recorded shellac discs were used to record music. The advent of electronic recording, from 1925, brought several advantages: the frequency range broadened beyond an upper limit of 3 kHz to 5 kHz, and a realistic balance of large ensembles became available. During the 1920s many musicians became deeply involved with radio broadcast commissions and earned much of their income from radio work.\(^\text{12}\) By the 1930s continuous recording was

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\(^{10}\) The recording details are: Arnold Schoenberg, conductor, Los Angeles (24–26 September 1940), CBS MPK 45695 mono ADD (1989), CD.

\(^{11}\) Chanan, 29.

\(^{12}\) Chanan, 63.
achieved with the use of several recording turntables. In 1940, the year when the recordings in this study were made, Schoenberg wrote: ‘there is evidence that the audiences of classic music are growing constantly and that classic programs are played over the radio several times each week and operas are broadcast from the Metropolitan, coast to coast, and also by recordings from many a smaller station’. Schoenberg, who had some experience with radio broadcasting (see Table 1), reflected on the issue in some of his writings.

Table 1: Some of Schoenberg’s radio broadcasts as a conductor

<table>
<thead>
<tr>
<th>Date</th>
<th>Composition</th>
<th>Performers</th>
<th>Place</th>
<th>Comments</th>
<th>Source(s)</th>
</tr>
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<tbody>
<tr>
<td>8 April 1927</td>
<td><em>Pelleas und Melisande</em>, Op. 5</td>
<td>The Berlin Radio (Funkstunde)</td>
<td>Berlin</td>
<td>Invited by Hans von Benda, the musical director of the radio. There were three rehearsals. Stuckenschmidt mentions that Schoenberg was invited often to conduct his works there.</td>
<td>Stucken-schmidt, 317.15</td>
</tr>
<tr>
<td>27 January 1928</td>
<td><em>Gurre-Lieder</em></td>
<td>A. Wynn, speaker; Stiles Allen, soprano; Gladys Palmer, contralto; J. Perry, tenor; Parry Jones, tenor; F. Philips, baritone; National Symphony Orchestra; National Chorus of London; Wireless Chorus; Civil Service Choir; Lloyds Choir; Railway Clearing House Male Voice Choir.</td>
<td>London, Queen's Hall</td>
<td>Schoenberg arrived in London on 14 January for rehearsals (Stuckenschmidt, 321). First British performance. The concert was broadcast.</td>
<td>Conducting score and Doctor, 599.16</td>
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### Pierrot lunaire in Studio and Broadcast

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<tr>
<th>Date</th>
<th>Composition</th>
<th>Performers</th>
<th>Place</th>
<th>Comments</th>
<th>Source(s)</th>
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<tr>
<td>27 February 1930</td>
<td><em>Von heute auf morgen</em>, Op. 32</td>
<td>Berlin Radio; Steinberg and his singers; Margot Hinnenberg; Lefèbre and Gerhard Pechners</td>
<td>Berlin</td>
<td></td>
<td>Stucken-schmidt, 331</td>
</tr>
<tr>
<td>8 February 1933</td>
<td>Variations for orchestra, Op. 31</td>
<td>Elena Gerhardt (soprano), BBC Symphony Orchestra</td>
<td>Queen’s Hall, London</td>
<td>BBC broadcast and concert. First public British performance. The piece was introduced with a broadcast talk given by Ernest Newman, who warned: 'It will last for twenty minutes and you may feel that you might be better employed elsewhere'.</td>
<td>Doctor, 627.</td>
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In 1930 he wrote: ‘Quite certainly the radio is a foe!—and so are the gramophone and sound-film’.\(^{17}\) He defines the tone of the radio as ‘unspeakably coarse’, and fears that slowly the audience will prefer it to the live sound of instruments. He asks whether the fact that music is being played constantly on the radio may result in people becoming as indifferent to music as they are to noise. In 1933 Schoenberg wrote that he expects the radio to ‘reproduce everything as it actually sounds’;\(^{18}\) however, he complains that, with few exceptions, the vast majority of broadcasting stations transmit a sound where one hears only ‘the upper parts’ (Schoenberg’s term) of the sound.\(^{19}\) He complains that radio, making music more available to the public, causes concerts to be badly attended. He also claims that the inventors of radio machines, who he believes to be only after money, invent radios which are not intended to ‘serve art’ but ‘can be mass-produced and thrown cheaply on to the market, and...brought out at least once a year in a new fashionable version that makes the earlier ones valueless’. He concludes that it ‘is a sad and hope-destroying phenomenon’.\(^{20}\) Nuria Schoenberg, who was eight years old when her father recorded *Pierrot lunaire*, wrote: ‘my father was very disappointed by the fact that the technicians turned up the volume on his ppp and turned down the levels on the ff, so that the dynamics were sadly limited. In those days the equipment was not able to reproduce extreme dynamics’.\(^{21}\)

Schoenberg’s negative attitude towards the new technology might have been one of the reasons for the unpleasant feeling he had when recording in the studio. Dika Newlin was present at the recording session of *Pierrot lunaire* on 25 September 1940. She listened for three hours to seven songs in the second part of the work\(^{22}\) and she reported that the process of recording was ‘nerve-racking’: ‘You should have seen poor Uncle Arnold shake and tremble when the man in the recording booth called out, “Ten seconds!” before each take. Frau Wagner got so exhausted that by the time the work was over she could scarcely talk.’\(^{23}\) One must remember that this was the first

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\(^{17}\) Schoenberg, *Style and Idea*, ‘The radio: replay to a questionnaire’, 147.


\(^{19}\) As note 18.

\(^{20}\) Schoenberg, *Style and Idea*, 152.

\(^{21}\) Email of 8 July 2005 from Nuria Schoenberg-Nono to the present author.

\(^{22}\) Since the test pressings were recorded in three days, this information suggests that on each day they recorded one part of the cycle.

occasion that Schoenberg was recorded for posterity as an interpreter of his own music on commercial recordings. At this time he felt that his music was being neglected or badly performed. This historic occasion, therefore, was by no means a relaxed one for Schoenberg and Stiedry-Wagner.

Schoenberg did see some value in radio and especially in recordings, for they were potentially helpful in making his music more available to the general public. Eugen Lehner, the viola player of the Kolisch quartet, believed that the private recordings of the four string quartets, which were interpreted under the supervision of Schoenberg in the United Artists film studio, Hollywood, on 29 December 1936, were performed badly. He consequently refused to agree that they would be published when the opportunity occurred in 1949. On 10 February 1949 Schoenberg wrote to him:

My music is almost totally unknown in America and also in present-day Europe. My sole interest must therefore necessarily be to take every chance of enabling people to hear some of it. And so even if the recordings were really bad as you say, I cannot help being very glad if every one small company sees to it that the largest possible number of people get to know at least that part of my work.24

Lehner appears subsequently to have agreed and the recordings were published to Schoenberg’s satisfaction.

The commercial recording and the broadcast

Reviewing the recording of the composer conducting his Pierrot lunaire, which was ‘for nearly ten years…the only major work of Schoenberg’s maturity available on records’, David Hamilton stated that

the recorded sound was oppressively close and unresonant, stifling the instrumental colors—a performance in a padded cell, as it were, the acoustic ambience apparently justifying Pierrot’s reputation as an unparalleled musical embodiment of frenzied neurasthenia. Nor was the recorded balance very helpful, for the heavier, slower-speaking instruments frequently drowned out the softer, lighter ones (as well as the important vocal part).25

Hamilton continued:


25 David Hamilton, ‘Moonlighting’, in From Pierrot to Manteau (Los Angeles, California: University of Southern California, Arnold Schoenberg Institute, 1987), 46–7: 46, originally from The New Yorker, 8 April 1974, 46.
Portions of Pierrot can justly be described as ‘gossamer’, and they were in fact expertly played in Schoenberg’s recording, but only an experienced aural imagination, coupled with study of the printed score, could bring the textures emerging from the grooves to within shouting distance of that adjective.26

According to Hamilton the Sprechstimme of Erika Stiedry-Wagner sounded, especially in America, ‘intensely emotional, often hysterical’. He added that ‘to most of that generation, these records were Pierrot, and a formidable, perhaps repellent experience it was’.27 A different view was expressed by Kalman Bloch, the clarinettist in the recording that Schoenberg conducted:

Despite some minor balance problems and what now must be considered primitive recording techniques, the recording was and still is a tremendous success. Conductors (Zubin Mehta, Simon Rattle, etc.) have told me that after listening to the many recordings produced since then, it was this one they absorbed as their greatest insights into the music.28

On 6 December 1936 Schoenberg received the following telegram from the Columbia Phonograph Company:

For collection of examples of last forty years most prominent musical works which we contemplate recording in hope of making recorded modern music more popular we are anxious to record in Paris last two movements of Pierrot lunaire with Marya Freund and players who have often performed under your direction your pupil Walter Goehr will be responsible for recording would you please grant permission.29

On 7 December 1936 Schoenberg answered Columbia by telegraph, refusing to permit a partial recording of Pierrot lunaire. He also made the condition that any recording of the piece should be with him conducting. Twelve days later he sent them another telegram which shows that he was aware not only of the power of recordings to spread his music in the present (as mentioned above in the letter to Lehner), but also of the significance of a recording for capturing his conducting as an important historical event:

As I wired, I am very sorry I could not give you the permission to record the last two movements of my Pierrot lunaire.

26 As note 25.
27 As note 25.
29 The telegram can be found in the archive of the Arnold Schönberg Center, Vienna, hereafter the ASC.
The second reason is that I am not sure whether the permission to record parts would mean that never, or at least as long as I live, the whole work would be recorded.

But the very first reason is: I find an author like myself, who has made history but nevertheless has been neglected by the phonograph companies for more than twenty years, cannot consider this as a worthy representation of his art.

Besides another matter astonishes me. I am now sixty-two years of age and do not know how long I live and how long I would be able to conduct my own works. Is there nobody in this industry who knows that and knows that it might be of some value for this industry to have at least some of my works in an authentic recording? Is there nobody who foresees that our successors will ask how it was possible at a time where the technique was advanced enough to preserve the original doings of the most unimportant people for eternity, but was there nobody who knew that there is a man who will be of some interest in the future, but this man remained in the dark, like in the old age?  

It seems that Schoenberg’s decision was a wise one since on 8 August 1940 Columbia offered to pay him ‘an outright royalty of 5% or a royalty of 10% with payments to musicians and Mrs. Stiedry’ for the complete recording of *Pierrot lunaire*. On 15 August 1940 Moses Smith, the director of Columbia, wrote to Schoenberg: ‘As I have already outlined to you in conversation, I am attempting to allocate to you, in the guise of conductor, some of the rights which I believe are yours as composer’. On 5 September 1940 Smith wrote that he would like all the performers participating in the recording to join the Musicians’ Union according to the terms of the licence that Columbia had with the Union. He did not ask Schoenberg to join the Union but suggested an alternative:

> I shall want the right to designate you on the labels of the finished records as the supervisor rather than as the conductor of the performance. I am sure that we can work out something not undignified, such as the phrase “such-and-such musician under the supervision of Arnold Schoenberg”.

Since Schoenberg wanted history to remember that the performance was his ‘authentic’ interpretation, it was natural that he was not happy with the offer. On 5 October 1940 he wrote: ‘I was very annoyed by the idea that my conducting should be called supervision and I understood that the only convenient solution is that I become a member of the Union—which I did’.  

On 19 September 1940 the contract for the recording was sent to Schoenberg offering him (as a conductor) ‘5% of the retail list price in the country of manufacture, of 90% of all records sold’.

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30 Unless noted otherwise, all documents in this section can be found in the ASC.

31 This was written as part of the letter dated 30 September 1940 to Moses Smith.
After the recording was ready Schoenberg wrote on 30 September 1940 to Moses Smith, claiming to be ‘very happy about the records’, yet he explained: ‘I had admitted Dr. Stiedry to the rehearsal and recording sessions. He is an old friend of mine, but, like all my superiors—the conductors—he thinks he is unfailing, which made me often angry enough’. Schoenberg continued: ‘For instance when he insisted (and succeeded) that I must at first correct the error he had observed and would not let me do what I found important. This went as far as to demand that I take his tempi instead of that of the composer. Next time I will be more cautious’.\(^{32}\) Furthermore, Schoenberg wrote a note on the record sleeve saying that Kolisch ‘knows the music better than I myself know it, and, thus, I was happy that he was the one who prepared the new ensemble for the recordings’.\(^{33}\) Although Schoenberg complained that Stiedry interfered, and acknowledging too that Kolisch had prepared the ensemble for the recording, one should not underestimate Schoenberg’s role. After all, he was the one who conducted the piece with most of the performers in the recording on many other occasions; and he trusted Kolisch to convey his performance intentions when coaching the ensemble.

The most interesting impression of the atmosphere of the rehearsals for the recording was captured by Dika Newlin in an entry in her diary from 4 September 1940:

most interesting was all the dirt dished up about the recording of *Pierrot lunaire*…it has all the earmarks of a recording that will never be made. Schoenberg gets mad and won’t direct rehearsals, leaving that to Kolisch; Kolisch gets mad, I don’t know why; Linden leaves town for a few days, thus balling things up immeasurably…Kalman Bloch has never touched a bass-clarinet in his life; no parts are to be had, and the players are having to play from miniature scores until such time as parts can be copied (Stein and I are going to get to work at that right away); all the full-sized scores in the U.S. seem to be out of commission in one way or another…; Schoenberg wants the recording made before school starts, and Erika Wagner will be in town any day now, but it couldn’t possibly be done before two weeks; then it would be too late because Kolisch and Steuermann will have gone back to N.Y. to participate in the performance under Klemperer! Schoenberg is mad about that because he doesn’t think Klemperer sympathetic to his works; Schoenberg is mad at Kolisch because he gave Kolisch the choice of taking the cost of the recording or the royalties and K. chose cost (doubting, and I suppose rightly, that the royalties would be worth taking). Did you ever hear of such a mix up in your life?\(^{34}\)

\(^{32}\) See the website of the Arnold Schönberg Center (correspondence), http://www.schoenberg.at/6_archiv/correspondence/letters_database_e.htm
\(^{33}\) Stuckenschmidt, 442.
\(^{34}\) Newlin, 243.
Bloch indeed confirmed: ‘the task of performing on the bass clarinet was a new experience. I had to borrow the best instrument available and learn it quickly. I took some special lessons from colleagues—for the part is difficult’.35

The broadcast was organized by the New Friends of Music, a group of new music performers who were highly professional. The context and atmosphere there seem to have been much more positive compared to that of the commercial recording. Shortly before the performance Schoenberg wrote to his family: ‘The rehearsal was very good—virtually unnecessary. Much better flute. The clarinettist Simon is very good’.36 Dika Newlin wrote in her diary entry of 18 November 1940: ‘Everyone says that...[the broadcast] was very fine indeed, better than that on the records in that the balance between voice and instruments was finer; and the flute passages were exceptionally well done’.37 On 18 November 1940 Schoenberg telegraphed his wife at 7:07 am: ‘Performance was excellent’. He received many letters and telegrams from family, friends, students and other people (such as Hanns Eisler) with compliments for the broadcast and greetings. On 18 November he wrote to his family about the concert: ‘the success was great and the critic is extraordinary... All the people said that one must have me here every year’.38 On 18 November 1940 Olin Downes wrote in The New York Times that the performance ‘was one of the most impressive experiences that a musical audience has had in seasons in this city... At the end all the artists and finally Mr. Schoenberg were recalled again and again to the stage’.39

Not all criticism was positive. Francis D. Perkins wrote that Pierrot lunaire ‘is indeed music of the past' and that ‘Some of its fine points...appeal to the eye familiar with the printed notes rather than to the ear’.40 Concerning the Sprechstimme he asked: ‘Would not the composer’s purposes be better achieved by...straight declamation or definite song?’ He admitted that ‘the performance itself...merited much praise’.

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35 Bloch, 49.
37 Newlin, 279.
38 ‘Der Erfolg war groß, und die Kritik ist ausserordentlich... Alle Leute sagen, man müsste mich hier jedes Jahr haben’.
39 A clipping of this article is preserved in the ASC.
40 The name of the newspaper starts with ‘New York’ yet the rest of it and the date are cut from the copy that is in the ASC.
Harriet Johnson, in *The New York Times,* also admitted that it was a good performance, yet she claimed, in a negative tone, ‘the composition still sounds to me, as it did 15 years ago when I first heard it, like an unsuccessful experiment rather than a great work of art’. She, too, did not like the *Sprechstimme*: ‘No matter how hard the voice tries, if it can’t sing, and it’s supposed to interpret a fantastic poem that pursues both blood and ecstasy in the midst of moonlight, what can it do but wail?’ All reporters were impressed by the counterpoint in the score but argued that it was not possible to hear it in the actual listening experience. We note that the negative reports of the concert concentrated their unenthusiastic criticism on the composition, yet they did not fail to praise the performance.

Schoenberg’s conducting of *Pierrot lunaire* was appreciated by both performers and reviewers. In his review of 18 November 1940, mentioned above, Downes wrote:

Mr. Schoenberg proved an absolute master conductor of his own music. He has no poses; he is unthinkable as virtuoso leader or a poseur of the baton strutting his hour. He is concerned solely with his task, and he is technically able to project his precise intention by means of simple, economical, unmistakable movements. He impressed his music upon the audience as immediately as he effaced himself from any conspicuousness. The sheer precision and power of his thought governed the interpreting artists.

On 24 November 1940 he further wrote:

What Mr. Schoenberg could do if he conducted his compositions for full symphony orchestra is not known hereabout. But if—and why not?—he can do with a full orchestra what he did with five chamber music players and a soprano at the New Friends of Music concert, then he is one of the few composer-conductors who are indispensable for the complete understanding of their music. If conductors of our great symphony orchestras have come no nearer Schoenberg’s real intentions than they came at previous hearings in this city of *Pierrot lunaire*, then we have never heard the major Schoenberg scores.

Bloch, the clarinet player, likewise claimed that Schoenberg was a ‘fairly efficient conductor. No-one could better impart the significance of all the musical details’.

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41 The exact date of the article, preserved in the ASC, is unknown because the edge where the date appeared is torn away.


The conducting score

Before proceeding to a comparison of the commercial recording and the broadcast, and in order to understand Schoenberg’s flexible attitude towards tempo, I will examine his tempo indications as manifested in the various conducting scores used at different times for performances of *Pierrot lunaire*. The première and the first *Pierrot* tour (1912) were conducted with the autograph manuscript, written between March and July 1912 (source B as denoted in the listing of the edition of *Pierrot* by Josef Rufer for the *Sämtliche Werke*). The concerts after July 1914 were conducted from a conducting score (D2) based on the first edition (D). On the first page of D2 one can find a list of cities and dates where performances took place. The first concert mentioned there was on 25 May 1922 in Prague. This conducting score was used on two major tours: one in 1922 and one in Italy in 1924. On the same page Schoenberg wrote in pencil: ‘Records made / September 24–26, 1940’. This suggests that this score was used as a conducting score for the European tours of the 1920s, the 1940 Columbia recording and the 1940 broadcast.

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44 The manuscript can be found in the Gertrud Clarke Whittall Foundation Collection, Library of Congress, Washington. Another autograph that was written between March 1912 and January 1914 might have been used at the performance of 1913 in Busoni’s house in Berlin: this is identified as C in the edition of *Pierrot lunaire* prepared by Josef Rufer (*Arnold Schönberg, Sämtliche Werke*, section 6, series B, vol. 24/1 (Vienna: Universal Edition AG, and Mainz: Schott Music International, 1995)), and can be found in the Robert Owen Lehman Collection, Pierpont Morgen Library, New York. There are also parts from the performance of 1912 (mentioned in a letter of 1922 from Albertine Zehme of Universal Edition to Hertzka, denoted as CM* in Rufer (ed.), *Pierrot lunaire*), yet these were probably lost.

45 Universal-Edition, Vienna and Leipzig, U. E. 5334, first printed in July 1914. There is another conducting score found in Schoenberg’s Nachlass (D1), which was probably not used by Schoenberg since the annotations there are not in his handwriting and are in English (some of the annotations are translations of the text that show that the conductor had little German). There is a third annotated score (D3) with the same tempo corrections as D2 but with, in total, far fewer annotations than D2. Reinhold Brinkmann suggests that the tempo changes were made as a consequence of the performance experiences Schoenberg had in 1922 and 1923 (Rufer (ed.), *Pierrot lunaire*, 40); however, Schoenberg did perform the piece also in 1914 and one should not disregard the experience he had from conducting the piece in 1921 as well as listening to Erwin Stein conduct it on many occasions.

46 The list can be found in Rufer (ed.), *Pierrot lunaire*, 34.

47 At the end of the conducting score there are dedications from three performers to Schoenberg dating from 8 April 1924. See Rufer (ed.), *Pierrot lunaire*, 35 for a transcription.

48 As well as on other single occasions after 1922; for a list of concerts with Schoenberg conducting see Byron, ‘Demystifying Schoenberg’s Conducting’.
There are very few differences concerning tempo between the two autographs of 1912–14 (B and C).\(^{49}\) A significant change, however, can be found between these autographs and the first edition of 1914 (D). In D, we find exact metronome markings in addition to the verbal tempo indications. Seven of the songs contain metronome indications with a given range (e.g. \(\ \ = 96–100\)). Others contain an approximate metronome indication around a given number (e.g. \(\ \ = \text{ca } 66\)).\(^{50}\) This demonstrates that Schoenberg’s conception of the tempo changed, and probably became more exact, due to the experience of the 1912 tour. Yet the fact that he gave a range of metronome indications to many of these songs suggests that he might have expected a flexible tempo in performance, or at least one that is not completely strict and mechanistic. This practice is consistent with his performance aesthetics of the time. On 24 August 1909 Schoenberg wrote an extremely long letter to Busoni criticizing the latter’s transcription of Schoenberg’s Op. 11 No. 2. Trying to understand why Busoni decided to create his version of this piece, a matter that seemed to irritate Schoenberg, he enquired of Busoni: ‘I would like to ask you if you have perhaps taken too slow a tempo. That could make a great difference. Or too little rubato. I never stay in time! Never in tempo!’\(^{50}\)

Further significant differences in the conception of tempo can be found when comparing the conducting score (D2) with the first edition (D). Here one notices that another three songs have received a range of metronome markings instead of one particular marking,\(^{51}\) and in ‘Eine blasse Wäscherin’ the tempo was changed from ‘Mäßige \(\ \ = \text{ca } 60\)’ to the following verbal indication and metronomic range: ‘Fließende, aber abwechslungsreich \(\ \ = 60–92\)’ (flowing, but varied or eventful). In ‘Heimweh’ he changed ‘Mässig Bewegte’ (moderate movement) to ‘In abwechslungsreicher Bewegung’ (in varied movement).\(^{52}\) In other cases he also reduced the beat to be conducted: for

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\(^{49}\) See the table on p. 43 in Rufer (ed.), Pierrot lunaire for a complete picture of tempo changes in the various sources.


\(^{51}\) For example in ‘Mondestrunken’: from \(\ \ = \text{ca } 66\)’, to \(\ \ = \text{ca } 66–76\)’.

\(^{52}\) In the same song he also added \(\ \ = \text{ca } 84\)’ to the last beat of bar 12 where there was previously indicated only ‘a tempo grazioso’. In bars 50–3 of ‘Serenade’ Schoenberg wrote in red pencil ‘mehr rit’, suggesting an increase in the un-notated ritenuto at the end of this song. In ‘Heimfährt’ he changed the tempo from \(\ \ (\text{ca } 126–132)\’ to ‘\(\ \ = 42–46\)’.
example, in ‘Colombine’ from ‘\( \dot{\,} \) (ca 126)’ to ‘\( \dot{\,} \) = 42–48’.\(^{53}\) Moreover, in ‘Nacht’ the tempo was reduced from ‘\( \dot{\,} \) (ca 88)’ to ‘\( \dot{\,} \) (ca 80)’, yet in ‘Parodie’ he increased the tempo from ‘\( \dot{\,} = \text{ca 120} \)’ to ‘\( \dot{\,} = \text{ca 132} \)’.\(^{54}\) One can see three kinds of changes: (1) the imposition of a more precise indication of tempo and tempo fluctuations; (2) a contradictory tendency to indicate a range of metronome indications instead of one specific indication (as well as his indications for ‘flowing’ and ‘varied movement’); (3) increases or decreases in tempo in relation to D. On the verso of the page with the introduction in the arrangement of Pierrot lunaire for voice and piano (H) by Erwin Stein it is written that ‘on the basis of performance experiences the tempo indications of the first edition were changed’.\(^{55}\) Yet this was not only an alteration due to performance experience; it was also a major evolution in the conception of tempo which occurred just after the First World War. These tempo changes (in H and D2) were made before May 1923.\(^{56}\) In these years, the Neue Sachlichkeit and other art and music movements flourished in Germany and Europe and were influential also in performance practice. The idea of an exact notation of tempo in order to make the composer’s intentions as clear as possible, while leaving a place for flexible expression for real-time performance with the help of verbal indications and a given range of tempo, is consistent with Schoenberg’s performance aesthetics between the two world wars. His writings reveal that he was influenced by the Neue Sachlichkeit in its critique of ‘exaggerated’ rubato, although he criticized it as too radical in its attempt to deny any expression from musical performance.

The process of change also continued some time later in the 1940 recording. The aforementioned indications from the first page of D2 and of a pause of ‘3 seconds’ between ‘Mondestrunken’ and ‘Colombine’, written in pencil and in English, suggest that Schoenberg used this pencil at the time of the 1940 recording. One can find the following late changes annotated in pencil: at bar 33 of ‘Colombine’ Schoenberg

\(^{53}\) In ‘Valse de Chopin’ from ‘\( \dot{\,} \) = ca 138’ to ‘\( \dot{\,} \) = 46–50’; in ‘Der Dandy’ he only reduced the beat from ‘\( \dot{\,} \) (ca 152)’ to ‘\( \dot{\,} \) = 76’; in ‘O alter Duft’ from ‘\( \dot{\,} = \text{ca 120} \)’ to ‘\( \dot{\,} = \text{ca 60} \)’.

\(^{54}\) In ‘Parodie’ he also added ‘Tempo I’ (underlined) at the end of bar 26, and ‘accel’ in the following bar.

\(^{55}\) ‘Aufgrund von Aufführungserfahrungen wurden einige Tempobezeichnungen der ersten Partiturauflage vom Komponisten geändert’.

\(^{56}\) A date annotated in pencil on the verso of the page with the introduction in the piano and voice arrangement of Pierrot lunaire, which includes all of the tempo changes of D2. The arrangement (U. E. 7144) can be found in the ASC.
changed the indication ‘viel langsamer (\( \dot{\cdot} = \text{ca 66} \)) to ‘etwas langsamer (\( \dot{\cdot} = \text{ca 100} \)).\(^{57}\) Between ‘Colombine’ and ‘Der Dandy’ he crossed out the two bars of rests annotated in blue pencil and wrote ‘3’/ no rest’ (with a grey pencil). In performances from the 1920s Schoenberg indicated various rests with or without fermatas as pauses between the songs, while in 1940 he annotated more precisely the exact durations in seconds. In ‘Der Dandy’ he suddenly changed from conducting in minims to conducting in crotchets.\(^{58}\) At the fourth bar he indicated a division into two beats and in bar 6 he returned to four beats per bar.\(^{59}\) It seems that the changes in the 1940s were due to the influence of the commercial recording and broadcast contexts where he was forced to think about the exact duration of the intervals of time between the songs as well as clarify his conducting in ‘Der Dandy’ for the new performers.

Reinhold Brinkmann asks why Schoenberg did not demand that Universal Edition incorporate these changes (in D2 and H) in the later editions of 1924, as well as in the study scores of DTa and DTb, especially in the light of Schoenberg’s insistence on similar changes in the scores of other compositions.\(^{60}\) This problem, which has no single solution, puts the editor and the performer in a situation where one cannot know what kind of tempo indication Schoenberg preferred. The composer changed his conception several times concerning the tempo and character of most of the songs during his lifetime of performing Pierrot lunaire.\(^{61}\) One can choose between either D, D2, or B (which was used initially by Steuermann and Zehme).\(^{62}\) In spite of the fact that there are significant differences in the conception of tempo, it seems that one version of Pierrot lunaire has no a priori claim to authority over any of the others. Are

\(^{57}\) The latter indication can be found already in the 1923 voice and piano arrangement of Pierrot lunaire (H).

\(^{58}\) The first edition has both four crotchets and, in parentheses, ‘alla breve’, yet in the conducting score Schoenberg wrote in red pencil ‘Halbe’ and in blue pencil he notated a large minim. In 1940 he deleted the ‘Halbe’ with an eraser and crossed out the minim with his pencil. Similar erasing can be found in other places in the song.

\(^{59}\) A similar change in conception can be found in ‘Gebet an Pierrot’. The first edition asks for alla breve. In the 1920s Schoenberg notated in red pencil ‘Viertel’ and wrote a quaver before the tempo indication ‘Mässige’. In 1940 he erased these annotations and drew a large minim with a pencil (repeated also before the piano stave). In bar 8 he returned to conducting quavers (‘4’ annotated in pencil at this place).

\(^{60}\) Rufer (ed.), Pierrot lunaire, 40–1.

\(^{61}\) For other cases where information in Schoenberg’s conducting scores in particular and Hand-exemplare in general affected later editions see Jerry McBride, ‘Schoenberg’s Annotated Hand-exemplare’, in Journal of the Arnold Schoenberg Institute, 5/2 (November 1981), 183–202.

\(^{62}\) Brinkmann, ‘What sources tell us about Pierrot lunaire’, in From Pierrot to Marteau, 35.
the annotations made during the 1912 creation of the composition more significant than those in 1940 which were made after years of conducting experience? Perhaps one should prefer the annotations from the 1920s, which were made before Schoenberg confronted the recording and broadcast technology? Or perhaps even an eclectic approach, combining various elements from the different sources, would be preferable for certain purposes? Knowledge and experience can help in balancing the various options. Yet the choices between the possibilities would ultimately have to rely on the values and subjective preference of the performer and/or editor.

### Comparative analysis of recordings: the broadcast and the commercial recording

In this section I will compare the broadcast and the commercial recording with reference to their different contexts, focussing on aspects of tempo, *Sprechstimme* contour, recording philosophy and character. Table 2 presents a comparison of tempos in some of the songs of *Pierrot lunaire* where the performed tempo is relatively stable in

<table>
<thead>
<tr>
<th>Song</th>
<th>Autograph (C)</th>
<th>First edition (D)</th>
<th>Conducting score (D2)</th>
<th>Commercial recording</th>
<th>Broadcast</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Mondestrunken</td>
<td>Bewegte ♫</td>
<td>Bewegte (♩ = ca 66)</td>
<td>Bewegte (♩ = 66–76)</td>
<td>♩ = 68</td>
<td>♩ = 68</td>
</tr>
<tr>
<td>2. Colombine</td>
<td>Fließende ♫</td>
<td>Fließende ♫ (ca 126)</td>
<td>Fließende ♫ = 42–48</td>
<td>♩ = 102</td>
<td>♩ = 102</td>
</tr>
<tr>
<td>4. Eine blasse Wäscherin</td>
<td>Mäßige ♫</td>
<td>Mäßige ♫ (ca 60)</td>
<td>Fließende, aber abwechslungsreich (♩ = 6–92)</td>
<td>♩ = 68</td>
<td>♩ = 74</td>
</tr>
<tr>
<td>5. Valse de Chopin</td>
<td>Langsamer Walzer ♫</td>
<td>Langsamer Walzer ♫ = ca 138</td>
<td>Langsamer Walzer ♫ = 46–50</td>
<td>♩ = 133</td>
<td>♩ = 130</td>
</tr>
<tr>
<td>6. Madonna</td>
<td>Mäßige langsam ♫</td>
<td>Mäßige langsam ♫ = ca 50</td>
<td>As in D</td>
<td>♩ = 59</td>
<td>♩ = 56</td>
</tr>
<tr>
<td>12. Galgenlied</td>
<td>Sehr rasch</td>
<td>Sehr rasch (♩ = 60)</td>
<td>As in D</td>
<td>♩ = 115</td>
<td>♩ = 120</td>
</tr>
<tr>
<td>13. Enthauptung</td>
<td>Ziemlich bewegte ♫</td>
<td>Ziemlich bewegte ♫ (ca 126)</td>
<td>As in D</td>
<td>♩ = 108</td>
<td>♩ = 126</td>
</tr>
</tbody>
</table>

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63 Columns 2–5 are based on information from Rufer (ed.), *Pierrot Lunaire*, 43–4.
the recordings (in the sense that it is not constantly fluctuating). Columns 2–4 show tempo indications from various manuscripts and editions of the piece. Columns 5 and 6 give the initial tempo of the songs as manifested in the commercial recording and the broadcast.

In the first two songs the tempo is the same in the commercial recording and the broadcast, yet note that in ‘Colombine’ the tempo in both recordings is much slower than in the scores. There are two cases (the songs ‘Valse de Chopin’ and ‘Madonna’) where the tempo is slightly faster in the commercial recording; in ‘Valse de Chopin’ the tempo in both recordings is slower than that indicated in the scores. There are three songs (‘Eine blasse Wäscherin’, ‘Galgenlied’ and especially ‘Enthauptung’) where the commercial recording is significantly slower. It seems very likely that the decision to perform these songs at a relatively slow tempo is due to the technical difficulties facing the new performers. ‘Galgenlied’, for example, is a very brief song which contains a sweeping accelerando. Starting this song in a fast tempo would have made its last seconds impossible for those new performers. In ‘Enthauptung’ the tempo in the commercial recording is slower than those performed in the broadcast and indicated in the score. Although new performers participated on both occasions, the slower tempo was probably due to the difficulties with those new performers in the commercial recording, alluded to in the testimony of Dika Newlin that we saw earlier.

I have argued elsewhere that the Sprechstimme notation in Pierrot lunaire begs for a process of real-time interaction with the dramatic and musical text more than an exact reproduction of notated pitch. The commercial recording and the broadcast represent two distinct expressions of that process. The broadcast tends to be higher in Sprechstimme pitch. Compare the opening of ‘Eine blasse Wäscherin’ with the text ‘Eine blasse Wäscherin wäscht zur Nachtzeit bleiche Tücher’ (See a pallid laundrymaid washing nightly faded linen); at this place one can hear the word ‘Nacht-zeit’ (literally night-time) articulated with higher pitch in the broadcast (Sound Example 1) than in the commercial recording (Sound Example 2). In the next sentence in the song, ‘nackte, silberweiße Arme streckt sie nieder in die Flut’ (naked, silver-whitish arms stretching downwards in the flood), the words ‘nackte’ (naked) and ‘nie-der’ (down) in bars 7–8 are in the commercial recording (Sound Example 3) delivered with a pitch.

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64 See Byron, ‘The Test Pressings’.
65 Translation by Andrew Porter, in From Pierrot to Marteau, 28.
66 All sound examples are reproduced here with the kind permission of Belmont Music Publishers: see note 10 for details of the commercial recording. The recording of the broadcast is in the ASC.

about as high as in the score, while in the broadcast (Sound Example 4) the pitch is a fourth higher. This phenomenon, which also occurs in many other places, is connected to the different character which is affected by the faster tempo in the broadcast. In the broadcast, the performers probably felt much freer than in the commercial recording where they knew very well that they were recording an historic event for generations to come.

In bar 14 one finds the only word in this song that is instructed to be sung: ‘breitet’ (lays out or spreads), where the tender maid of heaven lays out, or spreads, all her linen woven of moonbeams on the darkling meadows. This poetic moment is performed differently in the two recordings (see Figures 1 and 2 while listening to Sound Example 5 and Sound Example 6, respectively). In the broadcast Stiedry-Wagner sings much more openly the last syllable of the word (‘brei-tet’). Here one also notices that the next sentence (bar 15) is higher in the broadcast than in the commercial recording. This too suggests further vocal freedom in the broadcast than in the commercial recording. Paradoxically, the fact that Schoenberg chose slower tempos in some of the songs, probably because he was tenser at the time of the commercial recording, created a calmer performance. In the broadcast, however, the opposite happened: he had better performers and the situation was not to be documented on record for commercial use; therefore, this less stressful situation enabled him to perform some of these songs in a faster tempo with performers who were focused more on the real-time and dynamic musical process rather than on reproducing an historic musical monument.

The following examples will clarify this point. The first line of the song ‘Enthauptung’—‘Der Mond, ein blankes Türkenswert’ (The moon, a shiny Turkish sword)—sounds more relaxed in the commercial recording than in the broadcast. The slower tempo is an important factor influencing this character. Note especially the treatment of the word ‘Mond’ (in the commercial recording: f′ sharp, hear Sound Example 7; in the broadcast: b′ flat, hear Sound Example 8) and the last syllable of ‘Türkenswert’ (in the broadcast: e′ sharp, hear again Sound Example 8; in the commercial recording: g′, hear again Sound Example 7). These differences appear prominent in notes with long duration. Another example of pitch differences is the word ‘schmer-zens’ (painful) in the phrase ‘durch schmerzensdunkle Nacht’ (in sorrow-darkened night) in the commercial recording (Sound Example 9) and broadcast

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67 The pitch in Figures 1 and 2 is indicated in Hertz values; the duration in seconds. The straight lines are of the instruments (unless a trill or vibrato applies) while the voice has a greater tendency for glissandos. For help on reading the spectograms, see one of the many manuals on the Internet: for example, the one by Rob Hagiwara at http://home.cc.umanitoba.ca/~robh/howto.html

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This phenomenon occurs yet again in bar 12 with the text ‘Pierrot irrt ohne Rast umher’ (Pierrot restlessly roams about), in the commercial recording (Sound Example 11) and broadcast (Sound Example 12).

Figure 1: ‘Eine blasse Wäscherin’, spectogram of the text: ‘breitet auf die dunklen Wiesen’ (bars 14–15) in the broadcast

Figure 2: ‘Eine blasse Wäscherin’, spectogram of the text: ‘breitet auf die dunklen Wiesen’ (bars 14–15) in the commercial recording
The moon has a special symbolic role in *Pierrot lunaire* and accordingly Schoenberg grants it a relatively long duration in the score. In bars 12–14 of ‘Enthauptung’ the text is as follows: ‘Pierrot irrt ohne Rast umher und starrt empor in Todesängsten zum Mond’ (*Pierrot restlessly roams about and stares on high in deathly fear at the moon*). In both performances, Stiedry-Wagner gives special attention to the word ‘Mond’ (*moon*) in bar 14, yet she performs it lower in pitch and with a less urgent character in the commercial recording (hear the last word at Sound Example 13 (\(\text{Example 13} \text{.} \)) than in the broadcast (hear the last word at Sound Example 14 (\(\text{Example 14} \text{.} \)).

The song ‘Der kranke Mond’ is not an exception in relation to other songs, in that there is lower pitch in the *Sprechstimme* contour of the commercial recording. Hear, for example, the first sentence: ‘Du nächtig todeskranker Mond’ (*O somber deathly-stricken moon*) in the commercial recording (Sound Example 15 (\(\text{Example 15} \text{.} \)) and compare that of the broadcast (Sound Example 16 (\(\text{Example 16} \text{.} \)). There are many further examples of this in this song and in others. In several cases the pitch is spoken approximately at the same level in both recordings; in a few places the pitch in the commercial recording is higher than that in the broadcast. Yet, these are the exceptions that prove the rule.

The fact that Stiedry-Wagner sang without a score might have influenced the following mistake in the realm of rhythm—something that she was proud of reproducing in a perfect manner. In the song ‘Madonna’, she accurately reproduced the rhythmic values of bars 5–6 (Sound Example 17 (\(\text{Example 17} \text{.} \)) yet in the broadcast she shortened the last note in bar 4, resulting in a shift of all that comes later (again accurate singing with the ensemble only at bar 8: hear Sound Example 18 (\(\text{Example 18} \text{.} \)). It is well known that such mistakes were not uncommon in musical performances in the first part of the twentieth century, and that they were not regarded as severely as they came to be in the second part of that century as well as today. This is but an example of a shift in cultural values where flexibility and spontaneity in performance used to be valued above fidelity to the score. The performers in the broadcast knew that most people would never discover such mistakes. In contrast, the performers in the commercial recording had every reason to believe that many people would judge the performance with a score in hand.

The sizes of the studio and the broadcast hall as well as the recording philosophies of the sound technicians affected the character of the recordings. In an interview, Dika Newlin said: ‘this was a fair sized studio, I don’t recall which studio building was used, this was whatever space Columbia Records were using at that time. It wasn’t a
huge room. I’d say it was sort of a middle-sized performance space’.\textsuperscript{68} The broadcast, on the other hand, was performed before an audience in the large space of the Town Hall in New York. Moreover, the different recording conceptions had a decisive role. Michael Chanan pointed to the historical development of two contrary philosophies of recording due to the advance of electrical recording by 1925:

One was based on the conception of bringing the listener into the studio or auditorium. In this method, the microphone was placed at a distance that included the natural room resonance of the studio, or acoustical reflections of the auditorium... The contrary philosophy was the ‘close-up’ radio broadcast, where small groups of performers would use a small, acoustically dead studio and work close to the microphone.\textsuperscript{69}

The latter method created the impression that ‘the singer and the song are transported into the presence of the listener’.\textsuperscript{70} Listening to the recordings gives the impression that the broadcast technicians aimed to bring the listener into the auditorium while the studio technicians used the contrary ‘close-up’ philosophy. This is supported by the testimony of Nuria Schoenberg who remembered her father telling Stiedry-Wagner to ‘be a part of the ensemble, like another instrument’, and that ‘she should not sound like a soloist’. Nuria Schoenberg suggested that this ‘was another aspect which was probably not recorded properly, because the technicians would have had her microphone bring out the voice part and make the instruments an accompaniment, as was usual’.\textsuperscript{71} The different recording philosophies affected the balance and tone quality of the performances.

Conclusion

The analysis above reveals that tempo and pitch are relatively stable and more carefully controlled in the recording for posterity (in some cases, the tempos are positively slow and wary), and freer in the broadcast. The differences are prominent especially in places where the text is to be delivered in an emphasized manner. In 1926 Schoenberg wrote: ‘nowadays I take everything in my works a basic degree quicker than at the earliest performances, when partly for technical reasons (difficulty and

\textsuperscript{68} Michael D. Moore arranged and filmed the video of the interview which is 2005 copyrighted material owned by Michael D. Moore T/A MDM Productions, Richmond, Virginia. The majority of the questions in the interview are by Avior Byron. A transcription of the interview can be found in Avior Byron, \textit{Schoenberg as Performer: an Aesthetics in Practice} (PhD diss., University of London, 2006).

\textsuperscript{69} Chanan, 59–60.

\textsuperscript{70} As note 68.

\textsuperscript{71} Email from 8 July 2005 from Nuria Schoenberg-Nono to the present author.
inadequate dynamics), partly to obtain flexibility, I consciously and unconsciously took everything much too slowly’. His habit of adjusting the tempo due to technical difficulty and for obtaining flexibility played a major role in these performances. Since the commercial recording was the more stressful situation, it is no wonder that Schoenberg often chose slower tempos for the songs than in the broadcast; the challenge to achieve a quality performance with an ensemble including some new performers was formidable due to the recording’s historic status as the first commercial one conducted by the composer. Add to this Schoenberg’s aversion to this new technology and it seems probable that the once-off broadcast seemed much less threatening than the commercial recording—a monument that would symbolize for posterity his own interpretation of the work. In popular music the differences between live and studio performances are usually more openly acknowledged than in Western art music. The great differences in tempo, Sprechstimme contour, character and sound quality of these performances under Schoenberg’s conducting, and the diverse historical reception of the two, suggest that also in Western art music the context of performance is crucial for analysis or any other type of musical understanding.